

Attorney Docket OPTOLUM-005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

JOEL DRY : Group Art No.: 2818
Serial No.: 10/631,027 : Examiner Tu Tu V Ho
Filed: 07/30/2003 :
Title: LIGHT EMITTING DIODE LIGHT SOURCE

Commissioner of Patents and Trademarks
PO BOX 1450
Alexandria, VA 22312-1450

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97(c)(1)

Attached hereto and submitted herewith are PCT/SB/08A AND PCT/SB/08B listing documents being submitted under for consideration by the Examiner. Also enclosed is a copy of a summary of the Published Japanese application listed on the attached forms and copies of the references listed on attached PCT/SB/08A.

The references identified on PCT/SB/08A are believed to not be any more material to examination of the application than the references considered by the Examiner.

Applicant submits this information disclosure statement under 37 CFR 1.97(c)(1).

The undersigned makes the following statement:

Non item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37C.F.R. 1.56(c) more than three months prior to the filing date of this information disclosure statement.

Respectfully submitted,

/Donald J Lenkszus/

Donald J. Lenkszus, Attorney for Applicant
(Reg. No. 28,096)

PTQ/SB/08A (09-03)

Approved for use through 07/31/2006. OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

| | | | |
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| Sheet | 1 | of | 2 |
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Complete if Known

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|------------------------|-------------|
| Application Number | 10/631,027 |
| Filing Date | 07/30/2003 |
| First Named Inventor | JOEL DRY |
| Art Unit | 2818 |
| Examiner Name | TU TU HO |
| Attorney Docket Number | OPTOLUM-005 |

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

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|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP §01.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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PTO/SB/08B (03-03)

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| Substitute for form 1449/PTO | | Complete if Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | Application Number | 10/631,027 |
| | | Filing Date | 07/30/2003 |
| | | First Named Inventor | JOEL DRY |
| | | Art Unit | 2818 |
| | | Examiner Name | TU TU HO |
| Sheet 2 of 2 | Attorney Docket Number | OPTOLUM-005 | |

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|-----------------------|---|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
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